

Constant sign and sign changing NLS ground states on noncompact metric graphs

Damien Galant

CERAMATHS/DMATHS

Université Polytechnique
Hauts-de-France

Département de Mathématique

Université de Mons
F.R.S.-FNRS Research Fellow



UMONS

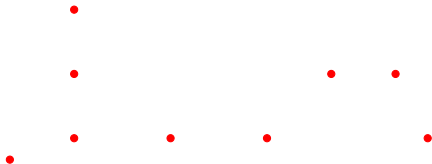
fnrs
LA LIBERTÉ DE CHERCHER

Joint work with Colette De Coster (UPHF), Christophe Troestler (UMONS),
Simone Dovetta and Enrico Serra (Politecnico di Torino)

Monday 22 January 2024

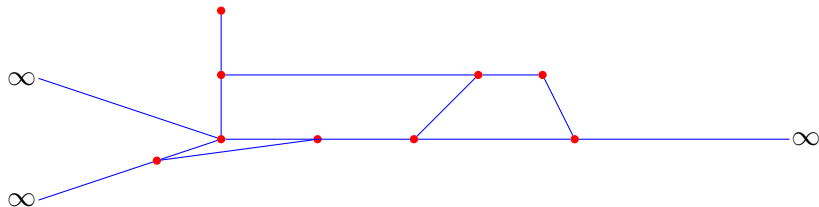
What is a metric graph?

A metric graph is made of **vertices**



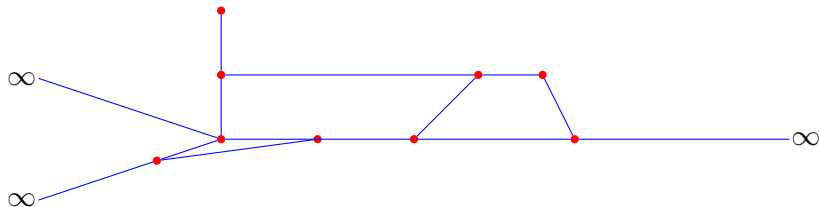
What is a metric graph?

A metric graph is made of **vertices** and of **edges** joining the vertices or going to infinity.



What is a metric graph?

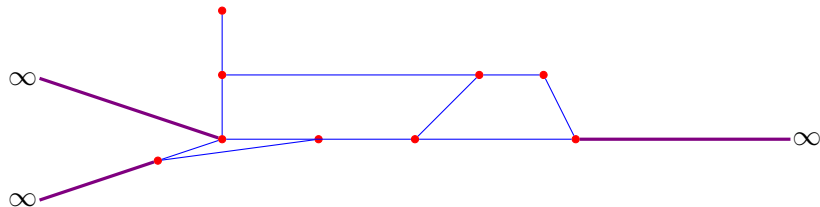
A metric graph is made of **vertices** and of **edges** joining the vertices or going to infinity.



- *metric graphs*: the lengths of edges are important.

What is a metric graph?

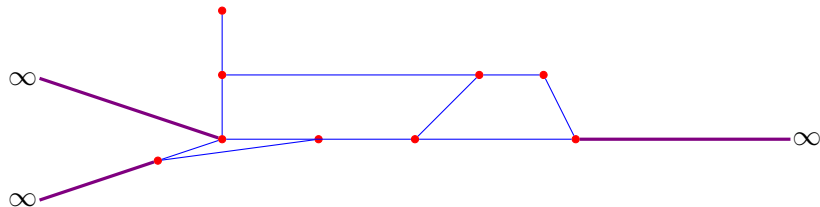
A metric graph is made of **vertices** and of **edges** joining the vertices or going to infinity.



- *metric* graphs: the lengths of edges are important.
- the edges going to infinity are **halflines** and have *infinite length*.

What is a metric graph?

A metric graph is made of **vertices** and of **edges** joining the vertices or going to infinity.



- *metric* graphs: the lengths of edges are important.
- the edges going to infinity are **halflines** and have *infinite length*.
- a metric graph is *compact* if and only if it has a finite number of edges of finite length.

Constructions based on halflines



The halfline

Constructions based on halflines



The halfline



The line

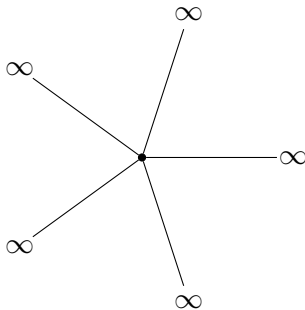
Constructions based on halflines



The halfline



The line



The 5-star graph

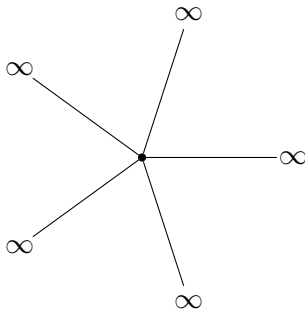
Constructions based on halflines



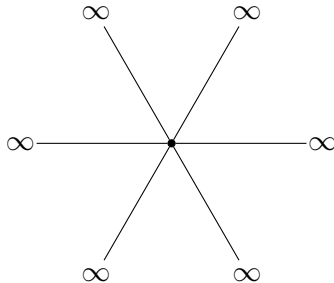
The halfline



The line

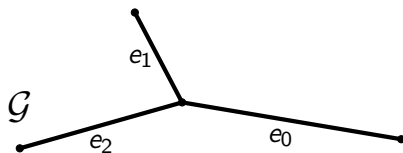


The 5-star graph



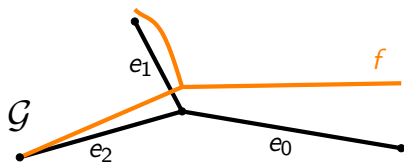
The 6-star graph

Functions defined on metric graphs



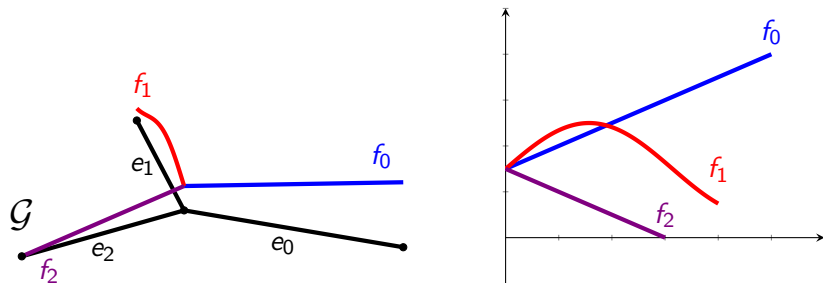
A metric graph \mathcal{G} with three edges e_0 (length 5), e_1 (length 4) and e_2 (length 3)

Functions defined on metric graphs



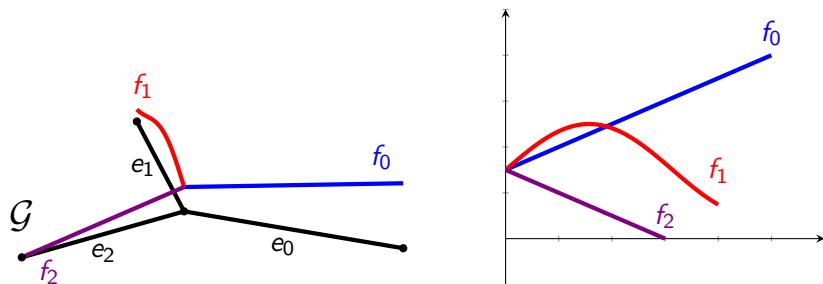
A metric graph \mathcal{G} with three edges e_0 (length 5), e_1 (length 4) and e_2 (length 3),
 a function $f : \mathcal{G} \rightarrow \mathbb{R}$

Functions defined on metric graphs



A metric graph \mathcal{G} with three edges e_0 (length 5), e_1 (length 4) and e_2 (length 3), a function $f : \mathcal{G} \rightarrow \mathbb{R}$, and the three associated real functions.

Functions defined on metric graphs



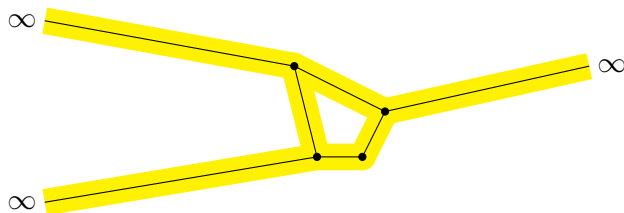
A metric graph \mathcal{G} with three edges e_0 (length 5), e_1 (length 4) and e_2 (length 3), a function $f : \mathcal{G} \rightarrow \mathbb{R}$, and the three associated real functions.

$$\int_{\mathcal{G}} f \, dx := \int_0^5 f_0(x) \, dx + \int_0^4 f_1(x) \, dx + \int_0^3 f_2(x) \, dx$$

Why studying metric graphs?

Physical motivations

Modeling structures where *only one spatial direction is important*.



A « fat graph » and the underlying metric graph

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{l} u'' + |u|^{p-2}u = \lambda u \quad \text{on each edge } e \text{ of } \mathcal{G}, \\ \\ \\ \end{array} \right.$$

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{l} u'' + |u|^{p-2}u = \lambda u \quad \text{on each edge } e \text{ of } \mathcal{G}, \\ u \text{ is continuous} \quad \text{for every vertex } v \text{ of } \mathcal{G}, \end{array} \right.$$

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{ll} u'' + |u|^{p-2}u = \lambda u & \text{on each edge } e \text{ of } \mathcal{G}, \\ u \text{ is continuous} & \text{for every vertex } v \text{ of } \mathcal{G}, \\ \sum_{e \ni v} \frac{du}{dx_e}(v) = 0 & \text{for every vertex } v \text{ of } \mathcal{G}, \end{array} \right.$$

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{ll} u'' + |u|^{p-2}u = \lambda u & \text{on each edge } e \text{ of } \mathcal{G}, \\ u \text{ is continuous} & \text{for every vertex } v \text{ of } \mathcal{G}, \\ \sum_{e \succ v} \frac{du}{dx_e}(v) = 0 & \text{for every vertex } v \text{ of } \mathcal{G}, \end{array} \right.$$

where the symbol $e \succ v$ means that the sum ranges over all edges of vertex v and where $\frac{du}{dx_e}(v)$ is the outgoing derivative of u at v (*Kirchhoff's condition*).

The differential system

Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{ll} u'' + |u|^{p-2}u = \lambda u & \text{on each edge } e \text{ of } \mathcal{G}, \\ u \text{ is continuous} & \text{for every vertex } v \text{ of } \mathcal{G}, \\ \sum_{e \succ v} \frac{du}{dx_e}(v) = 0 & \text{for every vertex } v \text{ of } \mathcal{G}, \end{array} \right. \quad (\text{NLS})$$

where the symbol $e \succ v$ means that the sum ranges over all edges of vertex v and where $\frac{du}{dx_e}(v)$ is the outgoing derivative of u at v (*Kirchhoff's condition*).

The differential system

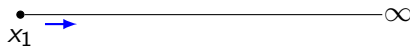
Given constants $p > 2$ and $\lambda > 0$, we are interested in solutions $u \in L^2(\mathcal{G})$ of the differential system

$$\left\{ \begin{array}{ll} u'' + |u|^{p-2}u = \lambda u & \text{on each edge } e \text{ of } \mathcal{G}, \\ u \text{ is continuous} & \text{for every vertex } v \text{ of } \mathcal{G}, \\ \sum_{e \succ v} \frac{du}{dx_e}(v) = 0 & \text{for every vertex } v \text{ of } \mathcal{G}, \end{array} \right. \quad (\text{NLS})$$

where the symbol $e \succ v$ means that the sum ranges over all edges of vertex v and where $\frac{du}{dx_e}(v)$ is the outgoing derivative of u at v (*Kirchhoff's condition*).

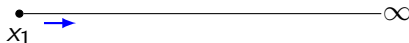
We denote by $\mathcal{S}_\lambda(\mathcal{G})$ the set of nonzero solutions of the differential system.

Kirchhoff's condition: degree one nodes



$$\lim_{\substack{t \rightarrow 0 \\ t > 0}} \frac{u(x_1 + t) - u(x_1)}{t} = 0$$

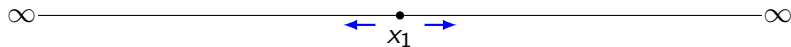
Kirchhoff's condition: degree one nodes



$$\lim_{\substack{t \rightarrow 0 \\ t > 0}} \frac{u(x_1 + t) - u(x_1)}{t} = 0$$

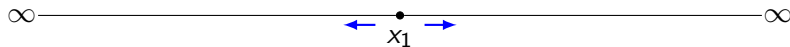
In other words, the derivative of u at x_1 vanishes: this is the usual Neumann condition.

Kirchhoff's condition: degree two nodes



$$\left(\lim_{t \rightarrow 0^+} \frac{u(x_1 + t) - u(x_1)}{t} \right) + \left(\lim_{t \rightarrow 0^+} \frac{u(x_1 - t) - u(x_1)}{t} \right) = 0$$

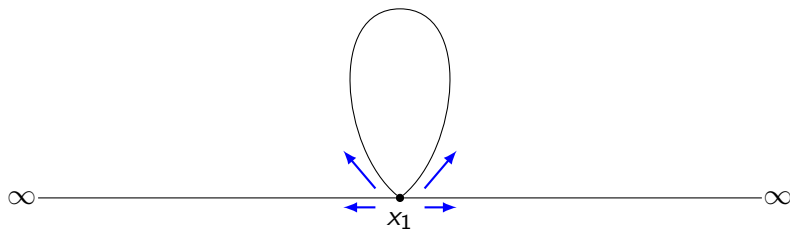
Kirchhoff's condition: degree two nodes



$$\left(\lim_{t \rightarrow 0^+} \frac{u(x_1 + t) - u(x_1)}{t} \right) + \left(\lim_{t \rightarrow 0^+} \frac{u(x_1 - t) - u(x_1)}{t} \right) = 0$$

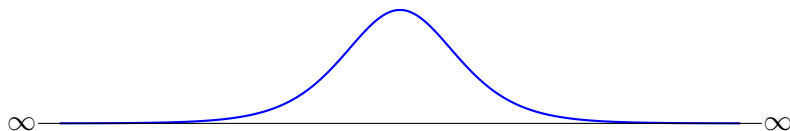
In other words, the left and right derivatives of u are equal, which simply means that u is differentiable at x_1 . This explains why usually we do not put degree two nodes.

Kirchhoff's condition in general: outgoing derivatives



$$\sum_{e \succ v} \frac{du}{dx_e}(v) = 0$$

The real line: $\mathcal{G} = \mathbb{R}$

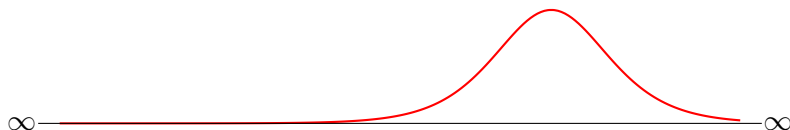


$$\mathcal{S}_\lambda(\mathbb{R}) = \left\{ \pm \varphi_\lambda(x + a) \mid a \in \mathbb{R} \right\}$$

where the *soliton* φ_λ is the unique strictly positive and even solution to

$$u'' + |u|^{p-2}u = \lambda u.$$

The real line: $\mathcal{G} = \mathbb{R}$

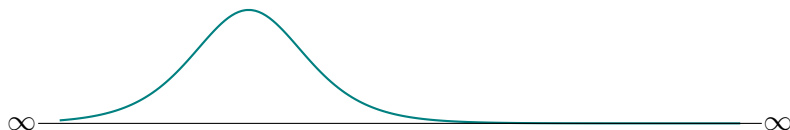


$$\mathcal{S}_\lambda(\mathbb{R}) = \left\{ \pm \varphi_\lambda(x + a) \mid a \in \mathbb{R} \right\}$$

where the *soliton* φ_λ is the unique strictly positive and even solution to

$$u'' + |u|^{p-2}u = \lambda u.$$

The real line: $\mathcal{G} = \mathbb{R}$

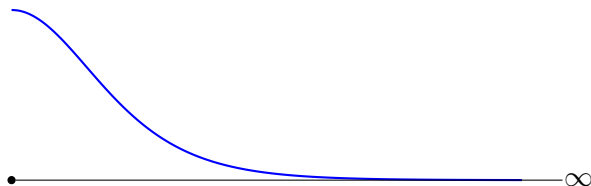


$$\mathcal{S}_\lambda(\mathbb{R}) = \left\{ \pm \varphi_\lambda(x + a) \mid a \in \mathbb{R} \right\}$$

where the *soliton* φ_λ is the unique strictly positive and even solution to

$$u'' + |u|^{p-2}u = \lambda u.$$

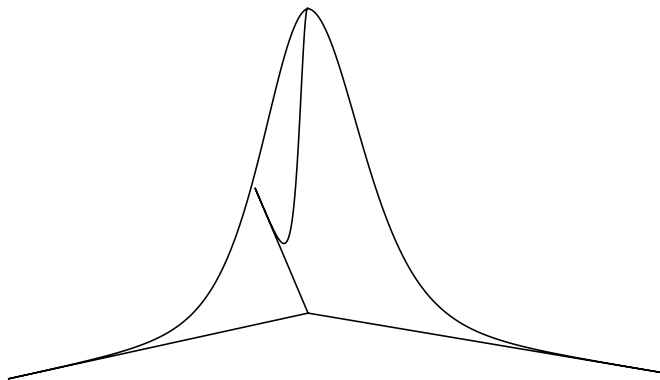
The halfline: $\mathcal{G} = \mathbb{R}^+ = [0, +\infty[$



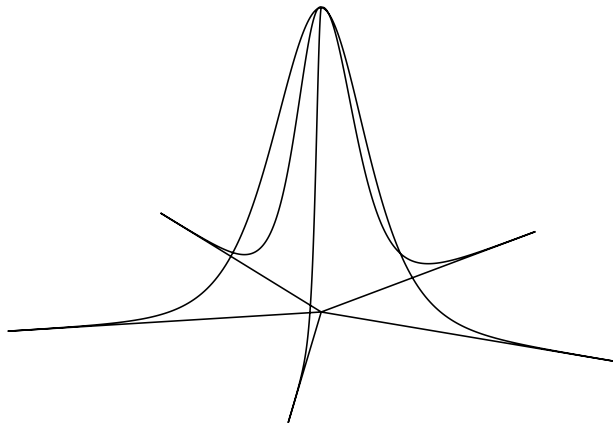
$$\mathcal{S}_\lambda(\mathbb{R}^+) = \left\{ \pm \varphi_\lambda(x)|_{\mathbb{R}^+} \right\}$$

Solutions are *half-solitons*: no more translations!

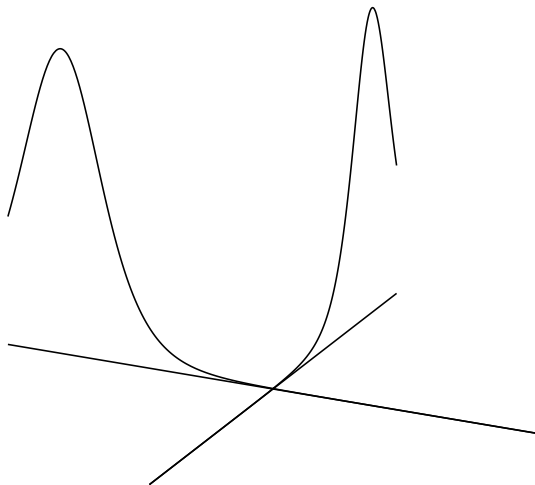
The positive solution on the 3-star graph



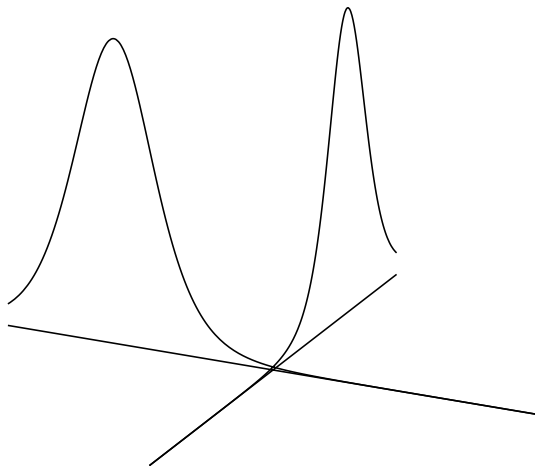
The positive solution on the 5-star graph



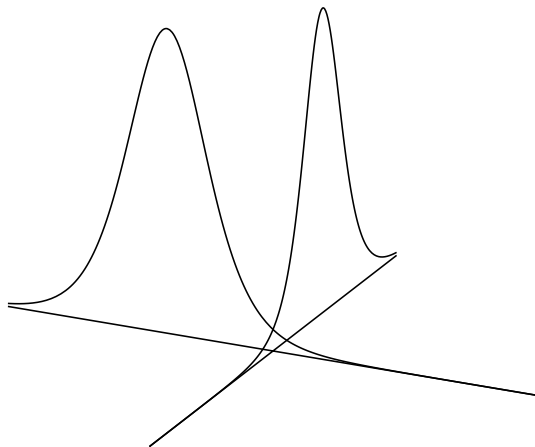
A continuous family of solutions on the 4-star graph



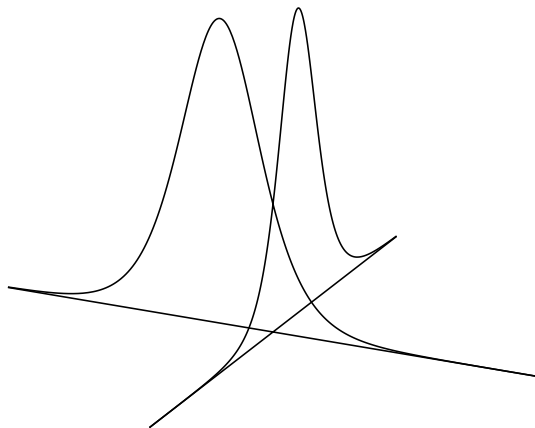
A continuous family of solutions on the 4-star graph



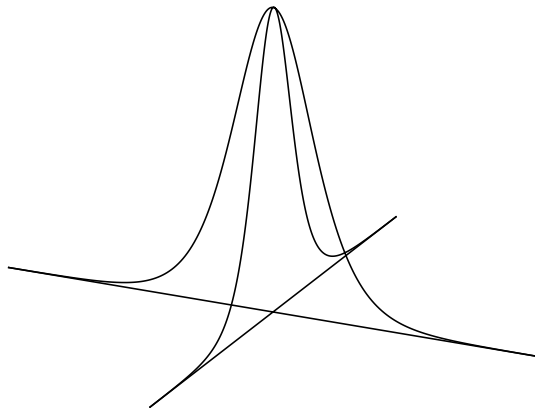
A continuous family of solutions on the 4-star graph



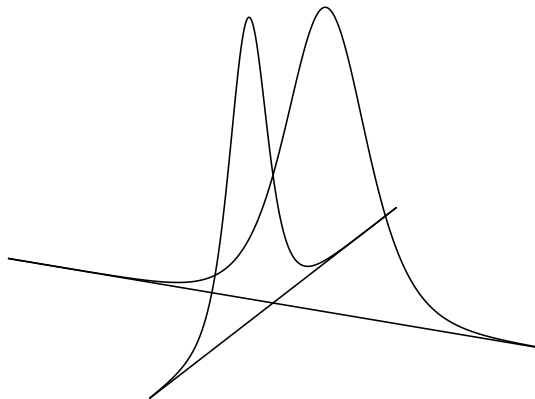
A continuous family of solutions on the 4-star graph



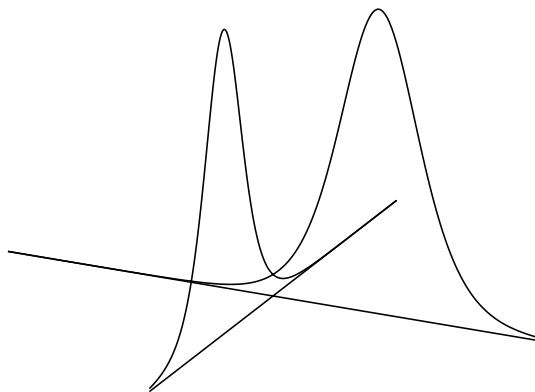
A continuous family of solutions on the 4-star graph



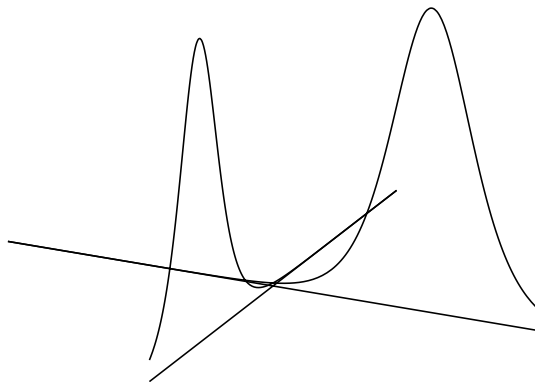
A continuous family of solutions on the 4-star graph



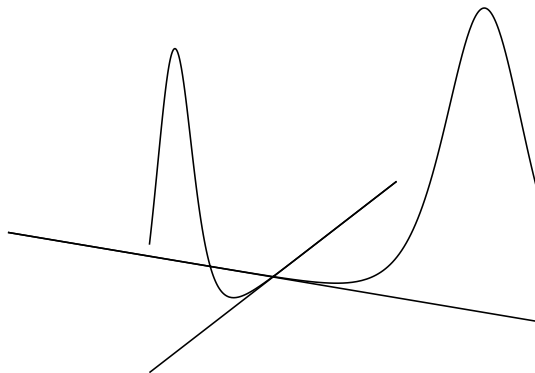
A continuous family of solutions on the 4-star graph



A continuous family of solutions on the 4-star graph



A continuous family of solutions on the 4-star graph



Variational formulation

We work on the Sobolev space

$$H^1(\mathcal{G}) := \left\{ u : \mathcal{G} \rightarrow \mathbb{R} \mid u \text{ is continuous, } u, u' \in L^2(\mathcal{G}) \right\}.$$

Variational formulation

We work on the Sobolev space

$$H^1(\mathcal{G}) := \left\{ u : \mathcal{G} \rightarrow \mathbb{R} \mid u \text{ is continuous, } u, u' \in L^2(\mathcal{G}) \right\}.$$

Solutions of (NLS) correspond to critical points of the *action functional*

$$J_\lambda(u) := \frac{1}{2} \|u'\|_{L^2(\mathcal{G})}^2 + \frac{\lambda}{2} \|u\|_{L^2(\mathcal{G})}^2 - \frac{1}{p} \|u\|_{L^p(\mathcal{G})}^p.$$

Variational formulation

We work on the Sobolev space

$$H^1(\mathcal{G}) := \left\{ u : \mathcal{G} \rightarrow \mathbb{R} \mid u \text{ is continuous, } u, u' \in L^2(\mathcal{G}) \right\}.$$

Solutions of (NLS) correspond to critical points of the *action functional*

$$J_\lambda(u) := \frac{1}{2} \|u'\|_{L^2(\mathcal{G})}^2 + \frac{\lambda}{2} \|u\|_{L^2(\mathcal{G})}^2 - \frac{1}{p} \|u\|_{L^p(\mathcal{G})}^p.$$

The level of the soliton φ_λ plays an important role in our analysis:

$$s_\lambda := J_\lambda(\varphi_\lambda).$$

The Euler-Lagrange equation associated to J_λ

The differential of $J_\lambda : H^1(\mathcal{G}) \rightarrow \mathbb{R}$ is given by

$$J'_\lambda(u)[v] = \int_{\mathcal{G}} u'(x)v'(x) dx + \lambda \int_{\mathcal{G}} u(x)v(x) dx - \int_{\mathcal{G}} |u(x)|^{p-2}u(x)v(x) dx$$

The Euler-Lagrange equation associated to J_λ

The differential of $J_\lambda : H^1(\mathcal{G}) \rightarrow \mathbb{R}$ is given by

$$J'_\lambda(u)[v] = \int_{\mathcal{G}} u'(x)v'(x) dx + \lambda \int_{\mathcal{G}} u(x)v(x) dx - \int_{\mathcal{G}} |u(x)|^{p-2}u(x)v(x) dx$$

If φ has compact support in the interior of an edge $e = AB$, we have

$$0 = J'_\lambda(u)[\varphi]$$

The Euler-Lagrange equation associated to J_λ

The differential of $J_\lambda : H^1(\mathcal{G}) \rightarrow \mathbb{R}$ is given by

$$J'_\lambda(u)[v] = \int_{\mathcal{G}} u'(x)v'(x) dx + \lambda \int_{\mathcal{G}} u(x)v(x) dx - \int_{\mathcal{G}} |u(x)|^{p-2}u(x)v(x) dx$$

If φ has compact support in the interior of an edge $e = AB$, we have

$$\begin{aligned} 0 &= J'_\lambda(u)[\varphi] \\ &= \int_e u'(x)\varphi'(x) dx + \lambda \int_e u(x)\varphi(x) dx - \int_e |u(x)|^{p-2}u(x)\varphi(x) dx \end{aligned}$$

The Euler-Lagrange equation associated to J_λ

The differential of $J_\lambda : H^1(\mathcal{G}) \rightarrow \mathbb{R}$ is given by

$$J'_\lambda(u)[v] = \int_{\mathcal{G}} u'(x)v'(x) dx + \lambda \int_{\mathcal{G}} u(x)v(x) dx - \int_{\mathcal{G}} |u(x)|^{p-2}u(x)v(x) dx$$

If φ has compact support in the interior of an edge $e = AB$, we have

$$\begin{aligned} 0 &= J'_\lambda(u)[\varphi] \\ &= \int_e u'(x)\varphi'(x) dx + \lambda \int_e u(x)\varphi(x) dx - \int_e |u(x)|^{p-2}u(x)\varphi(x) dx \\ &= \frac{du}{dx_e}(B) \underbrace{\varphi(B)}_{=0} - \frac{du}{dx_e}(A) \underbrace{\varphi(A)}_{=0} \\ &\quad + \int_e (-u''(x) + \lambda u(x) - |u(x)|^{p-2}u(x))\varphi(x) dx \end{aligned}$$

The Euler-Lagrange equation associated to J_λ

The differential of $J_\lambda : H^1(\mathcal{G}) \rightarrow \mathbb{R}$ is given by

$$J'_\lambda(u)[v] = \int_{\mathcal{G}} u'(x)v'(x) dx + \lambda \int_{\mathcal{G}} u(x)v(x) dx - \int_{\mathcal{G}} |u(x)|^{p-2}u(x)v(x) dx$$

If φ has compact support in the interior of an edge $e = AB$, we have

$$\begin{aligned} 0 &= J'_\lambda(u)[\varphi] \\ &= \int_e u'(x)\varphi'(x) dx + \lambda \int_e u(x)\varphi(x) dx - \int_e |u(x)|^{p-2}u(x)\varphi(x) dx \\ &= \frac{du}{dx_e}(B) \underbrace{\varphi(B)}_{=0} - \frac{du}{dx_e}(A) \underbrace{\varphi(A)}_{=0} \\ &\quad + \int_e (-u''(x) + \lambda u(x) - |u(x)|^{p-2}u(x))\varphi(x) dx \end{aligned}$$

so that $u'' + |u|^{p-2}u = \lambda u$ on edges of \mathcal{G} .

Kirchhoff's condition

Let A be a vertex of \mathcal{G} and let B_1, \dots, B_D be the vertices adjacent to A .

Kirchhoff's condition

Let A be a vertex of \mathcal{G} and let B_1, \dots, B_D be the vertices adjacent to A . Define φ so that it is affine on all edges of \mathcal{G} , $\varphi(A) = 1$ and $\varphi(V) = 0$ for all vertices $V \neq A$. Denote $e_j := AB_j$. Then,

Kirchhoff's condition

Let A be a vertex of \mathcal{G} and let B_1, \dots, B_D be the vertices adjacent to A . Define φ so that it is affine on all edges of \mathcal{G} , $\varphi(A) = 1$ and $\varphi(V) = 0$ for all vertices $V \neq A$. Denote $e_i := AB_i$. Then,

$$\begin{aligned} 0 &= J'_\lambda(u)[\varphi] \\ &= \sum_{1 \leq i \leq D} \left(\int_{e_i} u' \varphi' \, dx + \lambda \int_{e_i} u \varphi \, dx - \int_{e_i} |u|^{p-2} u \varphi \, dx \right) \end{aligned}$$

Kirchhoff's condition

Let A be a vertex of \mathcal{G} and let B_1, \dots, B_D be the vertices adjacent to A . Define φ so that it is affine on all edges of \mathcal{G} , $\varphi(A) = 1$ and $\varphi(V) = 0$ for all vertices $V \neq A$. Denote $e_i := AB_i$. Then,

$$\begin{aligned}
 0 &= J'_\lambda(u)[\varphi] \\
 &= \sum_{1 \leq i \leq D} \left(\int_{e_i} u' \varphi' \, dx + \lambda \int_{e_i} u \varphi \, dx - \int_{e_i} |u|^{p-2} u \varphi \, dx \right) \\
 &= \sum_{1 \leq i \leq D} \left(\frac{du}{dx_{e_i}}(B_i) \underbrace{\varphi(B_i)}_{=0} - \frac{du}{dx_{e_i}}(A_i) \underbrace{\varphi(A)}_{=1} \right) \\
 &\quad + \sum_{1 \leq i \leq D} \int_{e_i} \underbrace{(-u'' + \lambda u - |u|^{p-2} u)}_{=0} \varphi(x) \, dx
 \end{aligned}$$

Kirchhoff's condition

Let A be a vertex of \mathcal{G} and let B_1, \dots, B_D be the vertices adjacent to A . Define φ so that it is affine on all edges of \mathcal{G} , $\varphi(A) = 1$ and $\varphi(V) = 0$ for all vertices $V \neq A$. Denote $e_i := AB_i$. Then,

$$\begin{aligned}
 0 &= J'_\lambda(u)[\varphi] \\
 &= \sum_{1 \leq i \leq D} \left(\int_{e_i} u' \varphi' \, dx + \lambda \int_{e_i} u \varphi \, dx - \int_{e_i} |u|^{p-2} u \varphi \, dx \right) \\
 &= \sum_{1 \leq i \leq D} \left(\frac{du}{dx_{e_i}}(B_i) \underbrace{\varphi(B_i)}_{=0} - \frac{du}{dx_{e_i}}(A_i) \underbrace{\varphi(A)}_{=1} \right) \\
 &\quad + \sum_{1 \leq i \leq D} \int_{e_i} \underbrace{(-u'' + \lambda u - |u|^{p-2} u)}_{=0} \varphi(x) \, dx
 \end{aligned}$$

so that $\sum_{1 \leq i \leq D} \frac{du}{dx_{e_i}}(A_i) = 0$, which is Kirchhoff's condition.

The Nehari manifold

The functional J_λ is not bounded from below on $H^1(\mathcal{G})$, since if $u \neq 0$ then

$$J_\lambda(tu) = \frac{t^2}{2} \|u'\|_{L^2(\mathcal{G})}^2 + \frac{\lambda t^2}{2} \|u\|_{L^2(\mathcal{G})}^2 - \frac{t^p}{p} \|u\|_{L^p(\mathcal{G})}^p \xrightarrow[t \rightarrow \infty]{} -\infty.$$

The Nehari manifold

The functional J_λ is not bounded from below on $H^1(\mathcal{G})$, since if $u \neq 0$ then

$$J_\lambda(tu) = \frac{t^2}{2} \|u'\|_{L^2(\mathcal{G})}^2 + \frac{\lambda t^2}{2} \|u\|_{L^2(\mathcal{G})}^2 - \frac{t^p}{p} \|u\|_{L^p(\mathcal{G})}^p \xrightarrow{t \rightarrow \infty} -\infty.$$

A common strategy is to introduce the *Nehari manifold* $\mathcal{N}_\lambda(\mathcal{G})$, defined by

$$\begin{aligned} \mathcal{N}_\lambda(\mathcal{G}) &:= \left\{ u \in H^1(\mathcal{G}) \setminus \{0\} \mid J'_\lambda(u)[u] = 0 \right\} \\ &= \left\{ u \in H^1(\mathcal{G}) \setminus \{0\} \mid \|u'\|_{L^2(\mathcal{G})}^2 + \lambda \|u\|_{L^2(\mathcal{G})}^2 = \|u\|_{L^p(\mathcal{G})}^p \right\}. \end{aligned}$$

The Nehari manifold

The functional J_λ is not bounded from below on $H^1(\mathcal{G})$, since if $u \neq 0$ then

$$J_\lambda(tu) = \frac{t^2}{2} \|u'\|_{L^2(\mathcal{G})}^2 + \frac{\lambda t^2}{2} \|u\|_{L^2(\mathcal{G})}^2 - \frac{t^p}{p} \|u\|_{L^p(\mathcal{G})}^p \xrightarrow{t \rightarrow \infty} -\infty.$$

A common strategy is to introduce the *Nehari manifold* $\mathcal{N}_\lambda(\mathcal{G})$, defined by

$$\begin{aligned} \mathcal{N}_\lambda(\mathcal{G}) &:= \left\{ u \in H^1(\mathcal{G}) \setminus \{0\} \mid J'_\lambda(u)[u] = 0 \right\} \\ &= \left\{ u \in H^1(\mathcal{G}) \setminus \{0\} \mid \|u'\|_{L^2(\mathcal{G})}^2 + \lambda \|u\|_{L^2(\mathcal{G})}^2 = \|u\|_{L^p(\mathcal{G})}^p \right\}. \end{aligned}$$

If $u \in \mathcal{N}_\lambda(\mathcal{G})$, then

$$J_\lambda(u) = \left(\frac{1}{2} - \frac{1}{p} \right) \|u\|_{L^p(\mathcal{G})}^p.$$

In particular, J_λ is bounded from below on $\mathcal{N}_\lambda(\mathcal{G})$.

Two action levels

- « Ground state » action level:

$$c_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G})} J_\lambda(u)$$

Two action levels

- « Ground state » action level:

$$c_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G})} J_\lambda(u)$$

- *Ground state*: function $u \in \mathcal{N}_\lambda(\mathcal{G})$ with level $c_\lambda(\mathcal{G})$. If it exists, it is a solution of the differential system (NLS).

Two action levels

- « Ground state » action level:

$$c_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G})} J_\lambda(u)$$

- *Ground state*: function $u \in \mathcal{N}_\lambda(\mathcal{G})$ with level $c_\lambda(\mathcal{G})$. If it exists, it is a solution of the differential system (NLS).
- Minimal level **attained by the solutions of (NLS)**:

$$\sigma_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{S}_\lambda(\mathcal{G})} J_\lambda(u).$$

Two action levels

- « Ground state » action level:

$$c_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G})} J_\lambda(u)$$

- *Ground state*: function $u \in \mathcal{N}_\lambda(\mathcal{G})$ with level $c_\lambda(\mathcal{G})$. If it exists, it is a solution of the differential system (NLS).
- Minimal level **attained by the solutions of (NLS)**:

$$\sigma_\lambda(\mathcal{G}) := \inf_{u \in \mathcal{S}_\lambda(\mathcal{G})} J_\lambda(u).$$

- *Minimal action solution*: solution $u \in \mathcal{S}_\lambda(\mathcal{G})$ of the differential system (NLS) of level $\sigma_\lambda(\mathcal{G})$.

Four cases

An analysis shows that four cases are possible:

Four cases

An analysis shows that four cases are possible:

A1) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained;

Four cases

An analysis shows that four cases are possible:

- A1) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained;
- A2) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained;

Four cases

An analysis shows that four cases are possible:

A1) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained;

A2) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained;

B1) $c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$, $\sigma_\lambda(\mathcal{G})$ is attained but not $c_\lambda(\mathcal{G})$;

Four cases

An analysis shows that four cases are possible:

- A1) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained;
- A2) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained;
- B1) $c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$, $\sigma_\lambda(\mathcal{G})$ is attained but not $c_\lambda(\mathcal{G})$;
- B2) $c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$ and neither infima is attained.

Four cases

An analysis shows that four cases are possible:

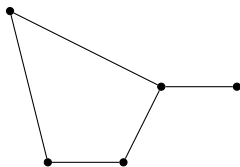
- A1) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained;
- A2) $c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained;
- B1) $c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$, $\sigma_\lambda(\mathcal{G})$ is attained but not $c_\lambda(\mathcal{G})$;
- B2) $c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$ and neither infima is attained.

Theorem (De Coster, Dovetta, G., Serra (Calc. Var. PDEs. 2023))

For every $p > 2$, every $\lambda > 0$, and every choice of alternative between A1, A2, B1, B2, there exists a metric graph \mathcal{G} where this alternative occurs.

Case A1

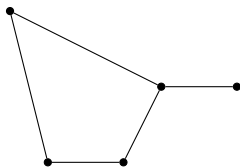
$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained



Compact graphs

Case A1

$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained



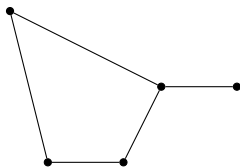
Compact graphs



The line

Case A1

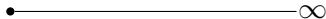
$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained



Compact graphs



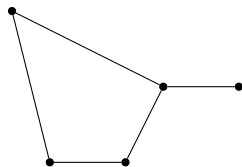
The line



The halfline

Case A1

$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and both infima are attained



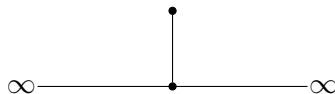
Compact graphs



The line



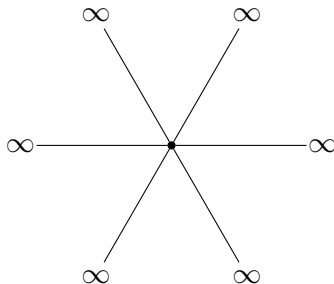
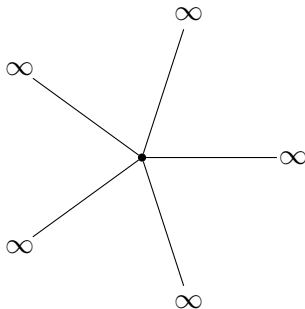
The halfline



All graphs with $c_\lambda(\mathcal{G}) < s_\lambda$

Case B1

$c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$, $\sigma_\lambda(\mathcal{G})$ is attained but not $c_\lambda(\mathcal{G})$

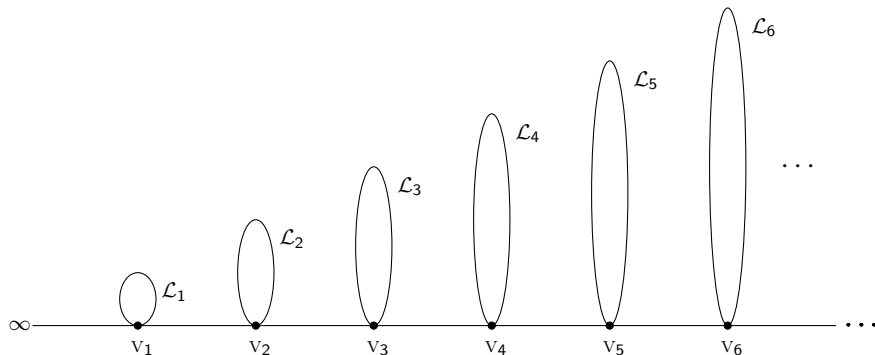


N -star graphs, $N \geq 3$

$$s_\lambda = c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G}) = \frac{N}{2}s_\lambda$$

Case A2

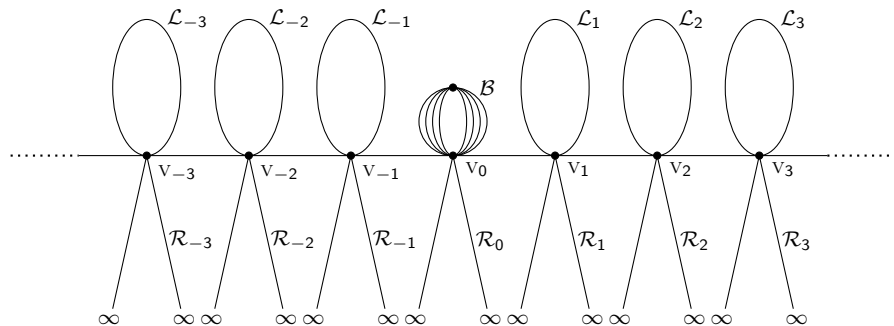
$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained



$$s_\lambda = c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$$

Case B2

$c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$ and neither infima is attained



$$s_\lambda = c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$$

A very useful tool: cutting solitons on halflines

Proposition

Assume that \mathcal{G} has at least one halfline. Then,

$$c_\lambda(\mathcal{G}) \leq s_\lambda := J_\lambda(\varphi_\lambda)$$

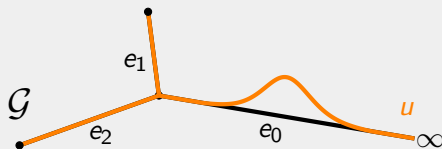
A very useful tool: cutting solitons on halflines

Proposition

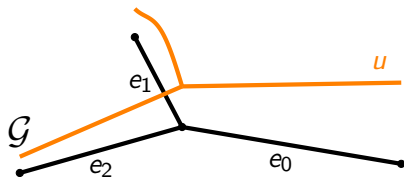
Assume that \mathcal{G} has at least one halfline. Then,

$$c_\lambda(\mathcal{G}) \leq s_\lambda := J_\lambda(\varphi_\lambda)$$

Proof.



Decreasing rearrangement on the halfline



For all $1 \leq p \leq +\infty$,

$$\|u\|_{L^p(\mathcal{G})} = \|u^*\|_{L^p(0,|\mathcal{G}|)}.$$

The Pólya–Szegő inequality

Theorem

Let $u \in H^1(\mathcal{G})$ be a nonnegative function. Then its decreasing rearrangement u^* belongs to $H^1(0, |\mathcal{G}|)$, and one has

$$\|(u^*)'\|_{L^2(0, |\mathcal{G}|)} \leq \|u'\|_{L^2(\mathcal{G})}.$$

The Pólya–Szegő inequality

Theorem

Let $u \in H^1(\mathcal{G})$ be a nonnegative function. Then its decreasing rearrangement u^* belongs to $H^1(0, |\mathcal{G}|)$, and one has

$$\|(u^*)'\|_{L^2(0,|\mathcal{G}|)} \leq \|u'\|_{L^2(\mathcal{G})}.$$



Pólya, G., Szegő, G. *Isoperimetric Inequalities in Mathematical Physics*. Annals of Mathematics Studies. Princeton, N.J. Princeton University Press. (1951).

The Pólya–Szegő inequality

Theorem

Let $u \in H^1(\mathcal{G})$ be a nonnegative function. Then its decreasing rearrangement u^* belongs to $H^1(0, |\mathcal{G}|)$, and one has

$$\|(u^*)'\|_{L^2(0, |\mathcal{G}|)} \leq \|u'\|_{L^2(\mathcal{G})}.$$



Pólya, G., Szegő, G. *Isoperimetric Inequalities in Mathematical Physics*. Annals of Mathematics Studies. Princeton, N.J. Princeton University Press. (1951).



Duff, G. *Integral Inequalities for Equimeasurable Rearrangements*. Canadian Journal of Mathematics **22** (1970), no. 2, 408–430.

The Pólya–Szegő inequality

Theorem

Let $u \in H^1(\mathcal{G})$ be a nonnegative function. Then its decreasing rearrangement u^* belongs to $H^1(0, |\mathcal{G}|)$, and one has

$$\|(u^*)'\|_{L^2(0, |\mathcal{G}|)} \leq \|u'\|_{L^2(\mathcal{G})}.$$



Pólya, G., Szegő, G. *Isoperimetric Inequalities in Mathematical Physics*. Annals of Mathematics Studies. Princeton, N.J. Princeton University Press. (1951).



Duff, G. *Integral Inequalities for Equimeasurable Rearrangements*. Canadian Journal of Mathematics **22** (1970), no. 2, 408–430.

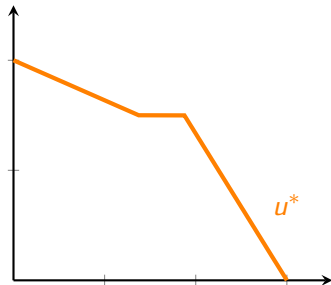
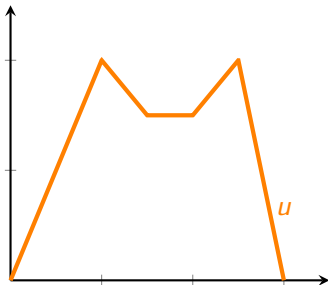


Friedlander, L. *Extremal properties of eigenvalues for a metric graph*. Ann. Inst. Fourier (Grenoble) **55** (2005) no. 1, 199–211.

The Pólya–Szegő inequality

A simple case: affine functions

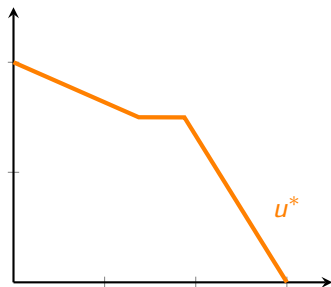
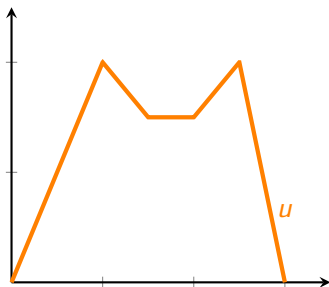
We assume that u is piecewise affine.



The Pólya–Szegő inequality

A simple case: affine functions

We assume that u is piecewise affine.

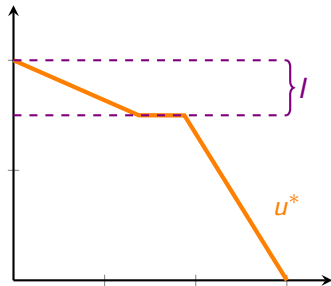
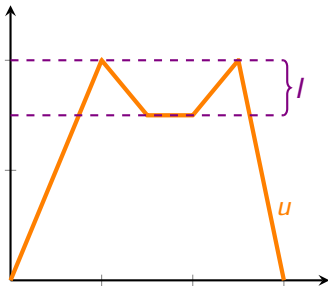


We consider a small open interval $I \subseteq u(\mathcal{G})$ so that $u^{-1}(I)$ consists of a disjoint union of open intervals on which u is affine.

The Pólya–Szegő inequality

A simple case: affine functions

We assume that u is piecewise affine.

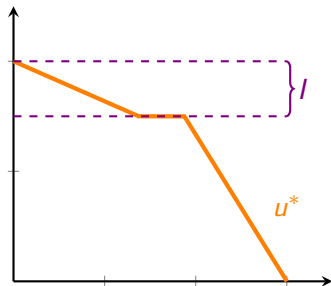
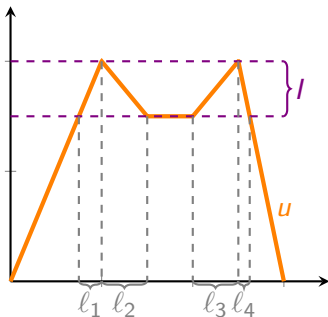


We consider a small open interval $I \subseteq u(\mathcal{G})$ so that $u^{-1}(I)$ consists of a disjoint union of open intervals on which u is affine.

The Pólya–Szegő inequality

A simple case: affine functions

We assume that u is piecewise affine.

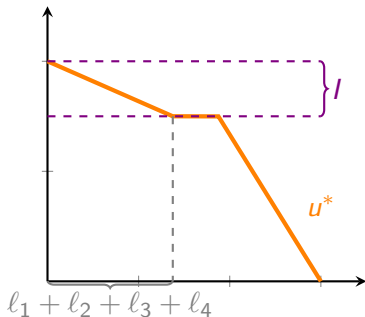
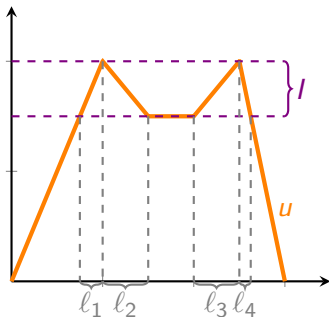


We consider a small open interval $I \subseteq u(\mathcal{G})$ so that $u^{-1}(I)$ consists of a disjoint union of open intervals on which u is affine.

The Pólya–Szegő inequality

A simple case: affine functions

We assume that u is piecewise affine.



We consider a small open interval $I \subseteq u(\mathcal{G})$ so that $u^{-1}(I)$ consists of a disjoint union of open intervals on which u is affine.

The Pólya–Szegő inequality

A simple case: affine functions

Original contribution to $\|u'\|_{L^2}^2$:

$$A := \ell_1 \frac{|I|^2}{\ell_1^2} + \ell_2 \frac{|I|^2}{\ell_2^2} + \ell_3 \frac{|I|^2}{\ell_3^2} + \ell_4 \frac{|I|^2}{\ell_4^2}$$

The Pólya–Szegő inequality

A simple case: affine functions

Original contribution to $\|u'\|_{L^2}^2$:

$$A := \ell_1 \frac{|I|^2}{\ell_1^2} + \ell_2 \frac{|I|^2}{\ell_2^2} + \ell_3 \frac{|I|^2}{\ell_3^2} + \ell_4 \frac{|I|^2}{\ell_4^2} = \frac{|I|^2}{\ell_1} + \frac{|I|^2}{\ell_2} + \frac{|I|^2}{\ell_3} + \frac{|I|^2}{\ell_4}$$

The Pólya–Szegő inequality

A simple case: affine functions

Original contribution to $\|u'\|_{L^2}^2$:

$$A := \ell_1 \frac{|I|^2}{\ell_1^2} + \ell_2 \frac{|I|^2}{\ell_2^2} + \ell_3 \frac{|I|^2}{\ell_3^2} + \ell_4 \frac{|I|^2}{\ell_4^2} = \frac{|I|^2}{\ell_1} + \frac{|I|^2}{\ell_2} + \frac{|I|^2}{\ell_3} + \frac{|I|^2}{\ell_4}$$

Contribution to $\|(u^*)'\|_{L^2}^2$:

$$B := \frac{|I|^2}{\ell_1 + \ell_2 + \ell_3 + \ell_4}$$

The Pólya–Szegő inequality

A simple case: affine functions

Original contribution to $\|u'\|_{L^2}^2$:

$$A := \ell_1 \frac{|I|^2}{\ell_1^2} + \ell_2 \frac{|I|^2}{\ell_2^2} + \ell_3 \frac{|I|^2}{\ell_3^2} + \ell_4 \frac{|I|^2}{\ell_4^2} = \frac{|I|^2}{\ell_1} + \frac{|I|^2}{\ell_2} + \frac{|I|^2}{\ell_3} + \frac{|I|^2}{\ell_4}$$

Contribution to $\|(u^*)'\|_{L^2}^2$:

$$B := \frac{|I|^2}{\ell_1 + \ell_2 + \ell_3 + \ell_4}$$

Inequality between arithmetic and harmonic means:

$$\frac{\ell_1 + \ell_2 + \ell_3 + \ell_4}{4} \geq \frac{4}{\frac{1}{\ell_1} + \frac{1}{\ell_2} + \frac{1}{\ell_3} + \frac{1}{\ell_4}}$$

The Pólya–Szegő inequality

A simple case: affine functions

Original contribution to $\|u'\|_{L^2}^2$:

$$A := l_1 \frac{|I|^2}{l_1^2} + l_2 \frac{|I|^2}{l_2^2} + l_3 \frac{|I|^2}{l_3^2} + l_4 \frac{|I|^2}{l_4^2} = \frac{|I|^2}{l_1} + \frac{|I|^2}{l_2} + \frac{|I|^2}{l_3} + \frac{|I|^2}{l_4}$$

Contribution to $\|(u^*)'\|_{L^2}^2$:

$$B := \frac{|I|^2}{l_1 + l_2 + l_3 + l_4}$$

Inequality between arithmetic and harmonic means:

$$\frac{l_1 + l_2 + l_3 + l_4}{4} \geq \frac{4}{\frac{1}{l_1} + \frac{1}{l_2} + \frac{1}{l_3} + \frac{1}{l_4}} \quad \Rightarrow \quad A \geq 4^2 B \geq B.$$

A refined Pólya–Szegő inequality...

... or the importance of the number of preimages

Theorem

Let $u \in H^1(\mathcal{G})$ be a nonnegative function. Let $N \geq 1$ be an integer. Assume that, for almost every $t \in]0, \|u\|_\infty[$, one has

$$u^{-1}(\{t\}) = \{x \in \mathcal{G} \mid u(x) = t\} \geq N.$$

Then one has

$$\|(u^*)'\|_{L^2(0,|\mathcal{G}|)} \leq \frac{1}{N} \|u'\|_{L^2(\mathcal{G})}.$$

Assumption (H)

Definition (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

We say that a metric graph \mathcal{G} satisfies assumption (H) if, for every point $x_0 \in \mathcal{G}$, there exist two injective curves $\gamma_1, \gamma_2 : [0, +\infty[\rightarrow \mathcal{G}$ parameterized by arclength, with disjoint images except for an at most countable number of points, and such that $\gamma_1(0) = \gamma_2(0) = x_0$.

Assumption (H)

Definition (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

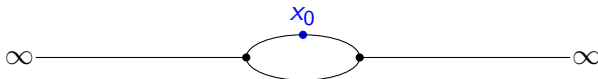
We say that a metric graph \mathcal{G} satisfies assumption (H) if, for every point $x_0 \in \mathcal{G}$, there exist two injective curves $\gamma_1, \gamma_2 : [0, +\infty[\rightarrow \mathcal{G}$ parameterized by arclength, with disjoint images except for an at most countable number of points, and such that $\gamma_1(0) = \gamma_2(0) = x_0$.



Assumption (H)

Definition (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

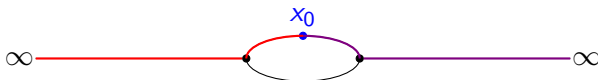
We say that a metric graph \mathcal{G} satisfies assumption (H) if, for every point $x_0 \in \mathcal{G}$, there exist two injective curves $\gamma_1, \gamma_2 : [0, +\infty[\rightarrow \mathcal{G}$ parameterized by arclength, with disjoint images except for an at most countable number of points, and such that $\gamma_1(0) = \gamma_2(0) = x_0$.



Assumption (H)

Definition (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

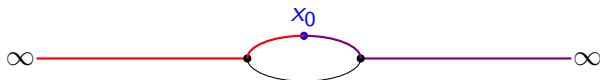
We say that a metric graph \mathcal{G} satisfies assumption (H) if, for every point $x_0 \in \mathcal{G}$, there exist two injective curves $\gamma_1, \gamma_2 : [0, +\infty[\rightarrow \mathcal{G}$ parameterized by arclength, with disjoint images except for an at most countable number of points, and such that $\gamma_1(0) = \gamma_2(0) = x_0$.



Assumption (H)

Definition (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

We say that a metric graph \mathcal{G} satisfies assumption (H) if, for every point $x_0 \in \mathcal{G}$, there exist two injective curves $\gamma_1, \gamma_2 : [0, +\infty[\rightarrow \mathcal{G}$ parameterized by arclength, with disjoint images except for an at most countable number of points, and such that $\gamma_1(0) = \gamma_2(0) = x_0$.



Consequence: *all* nonnegative $H^1(\mathcal{G})$ functions have at least two preimages for almost every $t \in]0, \|u\|_\infty[$.

Non-existence of ground states

Theorem (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

If a metric graph \mathcal{G} satisfies assumption (H), then

$$c_\lambda(\mathcal{G}) = s_\lambda$$

but it is never achieved

Non-existence of ground states

Theorem (Adami, Serra, Tilli (Calc. Var. PDEs. 2014))

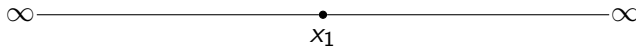
If a metric graph \mathcal{G} satisfies assumption (H), then

$$c_\lambda(\mathcal{G}) = s_\lambda$$

but it is never achieved, unless \mathcal{G} is isometric to one of the exceptional graphs depicted in the next two slides.

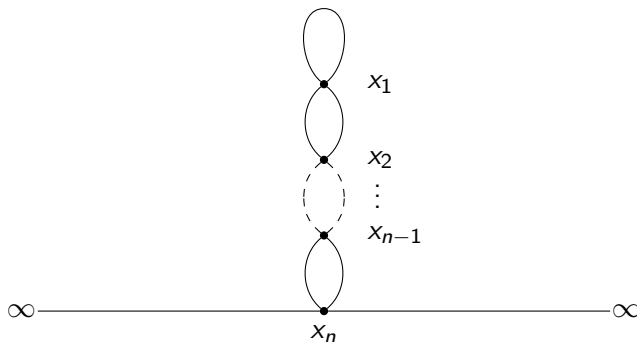
Non-existence of ground states

Exceptional graphs: the real line



Non-existence of ground states

Exceptional graphs: the real line with a tower of circles



A doubly constrained variational problem

We define

$$X_e := \left\{ u \in H^1(\mathcal{G}) \mid \|u\|_{L^\infty(\mathcal{G})} = \|u\|_{L^\infty(e)} \right\}$$

where e is a given bounded edge of \mathcal{G}

A doubly constrained variational problem

We define

$$X_e := \left\{ u \in H^1(\mathcal{G}) \mid \|u\|_{L^\infty(\mathcal{G})} = \|u\|_{L^\infty(e)} \right\}$$

where e is a given bounded edge of \mathcal{G} and we consider the doubly-constrained minimization problem

$$c_\lambda(\mathcal{G}, e) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G}) \cap X_e} J_\lambda(u).$$

A doubly constrained variational problem

We define

$$X_e := \left\{ u \in H^1(\mathcal{G}) \mid \|u\|_{L^\infty(\mathcal{G})} = \|u\|_{L^\infty(e)} \right\}$$

where e is a given bounded edge of \mathcal{G} and we consider the doubly-constrained minimization problem

$$c_\lambda(\mathcal{G}, e) := \inf_{u \in \mathcal{N}_\lambda(\mathcal{G}) \cap X_e} J_\lambda(u).$$

Theorem (De Coster, Dovetta, G., Serra (Calc. Var. PDEs. 2023))

If \mathcal{G} satisfies assumption (H) has a **long enough** bounded edge e , then $c_\lambda(\mathcal{G}, e)$ is attained by a solution $u \in \mathcal{S}_\lambda(\mathcal{G})$, such that $u > 0$ or $u < 0$ on \mathcal{G} and

$$\|u\|_{L^\infty(e)} > \|u\|_{L^\infty(\mathcal{G} \setminus e)}.$$

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;
- counting preimages and the refined Pólya–Szegő inequality;

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;
- counting preimages and the refined Pólya–Szegő inequality;
- ODE techniques;

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;
- counting preimages and the refined Pólya–Szegő inequality;
- ODE techniques;
- ...;

Why studying metric graphs?

Mathematical motivations

Main message

Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;
- counting preimages and the refined Pólya–Szegő inequality;
- ODE techniques;
- ...;

Replacing \mathcal{G} by noncompact smooth open sets $\Omega \subseteq \mathbb{R}^d$, $d \geq 2$ and $H^1(\mathcal{G})$ by $H^1(\Omega)$ or $H_0^1(\Omega)$, one expects that the four cases A1, A2, B1, B2 actually occur.

Why studying metric graphs?

Mathematical motivations

Main message

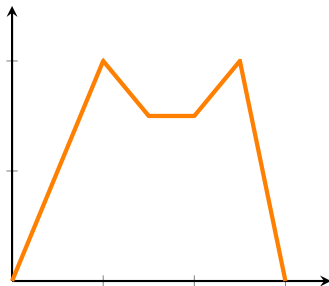
Metric graphs allow to study interesting *one dimensional* problems and are much richer than the usual class of intervals of \mathbb{R} .

Dimension one has many advantages:

- “nice” Sobolev embeddings, H^1 functions are continuous;
- counting preimages and the refined Pólya–Szegő inequality;
- ODE techniques;
- ...;

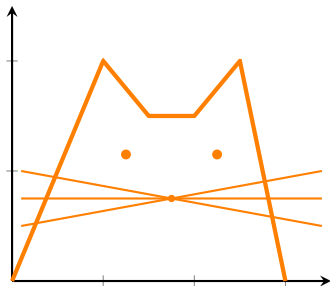
Replacing \mathcal{G} by noncompact smooth open sets $\Omega \subseteq \mathbb{R}^d$, $d \geq 2$ and $H^1(\mathcal{G})$ by $H^1(\Omega)$ or $H_0^1(\Omega)$, one expects that the four cases A1, A2, B1, B2 actually occur. However, to this day, *it remains an open problem!*

Thanks for your attention!





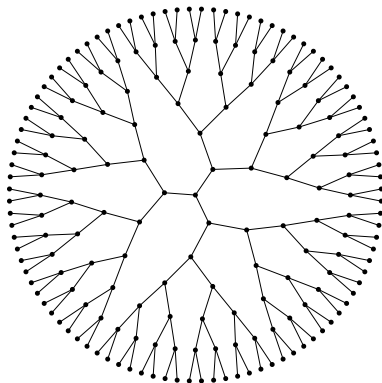
Thanks for your attention!



Curious about metric graphs?

Curious about metric graphs?

NQG : Summer school : “Nonlinear Quantum Graphs”



17–21 June 2024, Valenciennes; <https://nqg.sciencesconf.org/>



References



Adami R., Serra E., Tilli P., *NLS ground states on graphs*, *Calc. Var.* 54, 743–761 (2015).



De Coster C., Dovetta S., Galant D., Serra E. *On the notion of ground state for nonlinear Schrödinger equations on metric graphs*. *Calc. Var.* 62, 159 (2023).



De Coster C., Dovetta S., Galant D., Serra E., Troestler C., *Constant sign and sign changing NLS ground states on noncompact metric graphs*. ArXiv preprint: <https://arxiv.org/abs/2306.12121>.



Overviews of the subject



Adami R. *Ground states of the Nonlinear Schrodinger Equation on Graphs: an overview (Lisbon WADE)*.

<https://www.youtube.com/watch?v=G-FcnRVvoos> (2020)



Adami R., Serra E., Tilli P. *Nonlinear dynamics on branched structures and networks*. <https://arxiv.org/abs/1705.00529> (2017)



Kairzhan A., Noja D., Pelinovsky D. *Standing waves on quantum graphs*. *J. Phys. A: Math. Theor.* 55 243001 (2022)

An application: atomtronics

- A *boson*¹ is a particle with integer spin.

¹Here we will consider composite bosons, like atoms.

An application: atomtronics

- A *boson*¹ is a particle with integer spin.
- When identical bosons are cooled down to a temperature very close to absolute zero, they occupy a *unique lowest energy quantum state*.

¹Here we will consider composite bosons, like atoms.

An application: atomtronics

- A *boson*¹ is a particle with integer spin.
- When identical bosons are cooled down to a temperature very close to absolute zero, they occupy a *unique lowest energy quantum state*.
- This phenomenon is known as *Bose-Einstein condensation*.

¹Here we will consider composite bosons, like atoms.

An application: atomtronics

- A *boson*¹ is a particle with integer spin.
- When identical bosons are cooled down to a temperature very close to absolute zero, they occupy a *unique lowest energy quantum state*.
- This phenomenon is known as *Bose-Einstein condensation*.
- This is really remarkable: *macroscopic quantum phenomenon!*

¹Here we will consider composite bosons, like atoms.

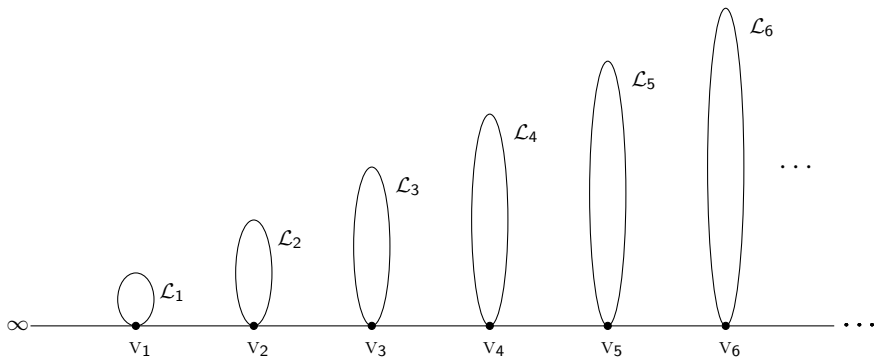
An application: atomtronics

- A *boson*¹ is a particle with integer spin.
- When identical bosons are cooled down to a temperature very close to absolute zero, they occupy a *unique lowest energy quantum state*.
- This phenomenon is known as *Bose-Einstein condensation*.
- This is really remarkable: *macroscopic quantum phenomenon!*
- Since 2000: emergence of *atomtronics*, which studies circuits guiding the propagation of ultracold atoms.

¹Here we will consider composite bosons, like atoms.

What's going on in case A2?

$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G})$ and neither infima is attained



What's going on in case A2?

- Since \mathcal{G} has at least one halfline and satisfies assumption (H), one has $c_\lambda(\mathcal{G}) = s_\lambda$ and the infimum is not attained (as \mathcal{G} does not belong to the class of exceptional graphs).



What's going on in case A2?

- Since \mathcal{G} has at least one halfline and satisfies assumption (H), one has $c_\lambda(\mathcal{G}) = s_\lambda$ and the infimum is not attained (as \mathcal{G} does not belong to the class of exceptional graphs).
- Cutting solitons on the loops, one sees that

$$c_\lambda(\mathcal{G}, \mathcal{L}_n) \xrightarrow{n \rightarrow \infty} s_\lambda$$



What's going on in case A2?

- Since \mathcal{G} has at least one halfline and satisfies assumption (H), one has $c_\lambda(\mathcal{G}) = s_\lambda$ and the infimum is not attained (as \mathcal{G} does not belong to the class of exceptional graphs).
- Cutting solitons on the loops, one sees that

$$c_\lambda(\mathcal{G}, \mathcal{L}_n) \xrightarrow[n \rightarrow \infty]{} s_\lambda$$

- According to the existence Theorems, $c_\lambda(\mathcal{G}, \mathcal{L}_n)$ is attained by a *solution of (NLS)* for every n large enough.

What's going on in case A2?

- Since \mathcal{G} has at least one halfline and satisfies assumption (H), one has $c_\lambda(\mathcal{G}) = s_\lambda$ and the infimum is not attained (as \mathcal{G} does not belong to the class of exceptional graphs).
- Cutting solitons on the loops, one sees that

$$c_\lambda(\mathcal{G}, \mathcal{L}_n) \xrightarrow[n \rightarrow \infty]{} s_\lambda$$

- According to the existence Theorems, $c_\lambda(\mathcal{G}, \mathcal{L}_n)$ is attained by a *solution of (NLS)* for every n large enough.
- One obtains

$$s_\lambda = c_\lambda(\mathcal{G}) \leq \sigma_\lambda(\mathcal{G}) \leq \liminf_{n \rightarrow \infty} c_\lambda(\mathcal{G}, \mathcal{L}_n) = s_\lambda,$$

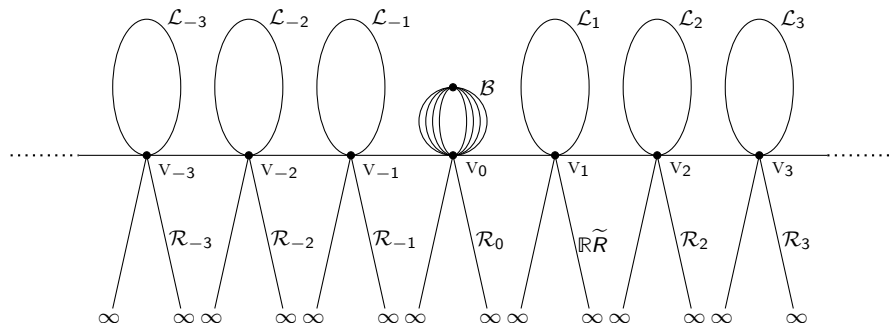
so

$$c_\lambda(\mathcal{G}) = \sigma_\lambda(\mathcal{G}) = s_\lambda$$

and neither infimum is attained.

What's going on in case B2?

$c_\lambda(\mathcal{G}) < \sigma_\lambda(\mathcal{G})$ and neither infima is attained

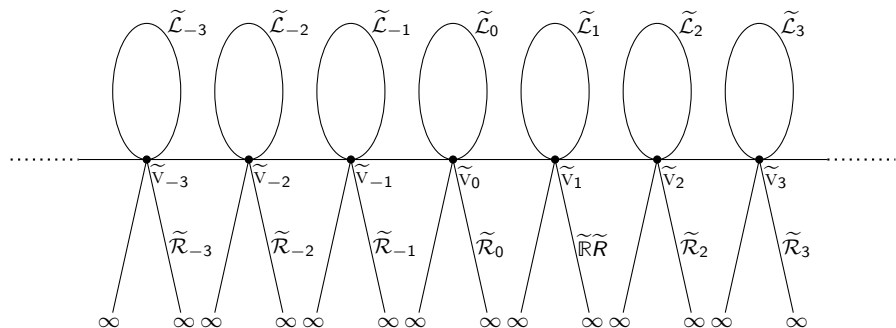


The graph \mathcal{G}_N .

The loops \mathcal{L}_i have length N and \mathcal{B} is made of N edges of length 1.

What's going on in case B2?

A second, periodic, graph



The graph $\tilde{\mathcal{G}}_N$.

The loops $\tilde{\mathcal{L}}_i$ have length N .

What's going on in case B2?

Two problems at infinity

- Since \mathcal{G}_N and $\tilde{\mathcal{G}}_N$ satisfy (H) and contain halflines, one has

$$s_\lambda = c_\lambda(\mathcal{G}_N) = c_\lambda(\tilde{\mathcal{G}}_N),$$

and neither infima is attained.

What's going on in case B2?

Two problems at infinity

- Since \mathcal{G}_N and $\tilde{\mathcal{G}}_N$ satisfy (H) and contain halflines, one has

$$s_\lambda = c_\lambda(\mathcal{G}_N) = c_\lambda(\tilde{\mathcal{G}}_N),$$

and neither infima is attained.

- One can show that, if N is large enough, then $\sigma_\lambda(\tilde{\mathcal{G}}_N)$ is attained (using the periodicity of $\tilde{\mathcal{G}}_N$).

What's going on in case B2?

Two problems at infinity

- Since \mathcal{G}_N and $\tilde{\mathcal{G}}_N$ satisfy (H) and contain halflines, one has

$$s_\lambda = c_\lambda(\mathcal{G}_N) = c_\lambda(\tilde{\mathcal{G}}_N),$$

and neither infima is attained.

- One can show that, if N is large enough, then $\sigma_\lambda(\tilde{\mathcal{G}}_N)$ is attained (using the periodicity of $\tilde{\mathcal{G}}_N$). Hence $\sigma_\lambda(\tilde{\mathcal{G}}_N) > s_\lambda$.

What's going on in case B2?

Two problems at infinity

- Since \mathcal{G}_N and $\tilde{\mathcal{G}}_N$ satisfy (H) and contain halflines, one has

$$s_\lambda = c_\lambda(\mathcal{G}_N) = c_\lambda(\tilde{\mathcal{G}}_N),$$

and neither infima is attained.

- One can show that, if N is large enough, then $\sigma_\lambda(\tilde{\mathcal{G}}_N)$ is attained (using the periodicity of $\tilde{\mathcal{G}}_N$). Hence $\sigma_\lambda(\tilde{\mathcal{G}}_N) > s_\lambda$.
- One then shows, using suitable rearrangement techniques, that

$$\sigma_\lambda(\mathcal{G}_N) = \sigma_\lambda(\tilde{\mathcal{G}}_N),$$

but that $\sigma_\lambda(\mathcal{G}_N)$ is not attained.

What's going on in case B2?

Two problems at infinity

- Since \mathcal{G}_N and $\tilde{\mathcal{G}}_N$ satisfy (H) and contain halflines, one has

$$s_\lambda = c_\lambda(\mathcal{G}_N) = c_\lambda(\tilde{\mathcal{G}}_N),$$

and neither infima is attained.

- One can show that, if N is large enough, then $\sigma_\lambda(\tilde{\mathcal{G}}_N)$ is attained (using the periodicity of $\tilde{\mathcal{G}}_N$). Hence $\sigma_\lambda(\tilde{\mathcal{G}}_N) > s_\lambda$.
- One then shows, using suitable rearrangement techniques, that

$$\sigma_\lambda(\mathcal{G}_N) = \sigma_\lambda(\tilde{\mathcal{G}}_N),$$

but that $\sigma_\lambda(\mathcal{G}_N)$ is not attained.

- Therefore, for large N , we have that

$$s_\lambda = c_\lambda(\mathcal{G}_N) < \sigma_\lambda(\mathcal{G}_N),$$

and neither infima is attained, as claimed.